

## **APPLICATION DATA SHEET**

### **Application Information**

Application Number:: 09/495,393  
Filing Date:: January 31, 2000  
Application Type:: Regular  
Subject Matter:: Utility  
Suggested Classification::  
Suggested Group Art Unit::  
CD-ROM or CD-R::  
Number of CD Disks::  
Number of Copies of CDs::  
Sequence Submission?::  
Computer Readable Form (CRF)?::  
Number of Copies of CRF::  
Title::

**SYSTEM AND METHOD FOR INTEGRATING  
TRADING OPERATIONS INCLUDING THE  
GENERATION, PROCESSING AND  
TRACKING OF AND TRADE DOCUMENTS**

Attorney Docket Number:: 72167.000158  
Request for Early Publication?::  
Request for Non-Publication?::  
Suggested Drawing Figure::  
Total Drawing Sheets:: 5  
Small Entity?::  
Latin Name::  
Variety denomination name::  
Petition Included?::  
Petition Type::  
Licensed US Government Agency::  
Contract or Grant Numbers::  
Secrecy Order in Parent Application?::

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United States  
Status:: Full Capacity

Given Name:: **Albert**  
Middle Name:: **Kwang-Hwa**  
Family Name:: **SUN**  
Name Suffix::  
City of Residence:: Brooklyn  
State or Province of Residence:: NY  
Country of Residence:: US  
Street of Mailing Address:: 322 13<sup>th</sup> Street  
Street of Mailing Address::  
City of Mailing Address:: Brooklyn  
State or Province of Mailing Address:: NY  
Country of Mailing Address:: US  
Postal or Zip Code of Mailing Address:: 11215

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: China  
Status:: Full Capacity

Given Name:: **Tak**  
Middle Name:: **Ming**  
Family Name:: **CHAN**  
Name Suffix::  
City of Residence:: Kwun Tong  
State or Province of Residence::  
Country of Residence:: Hong Kong  
Street of Mailing Address:: 5C Block 10, Laguna City

Street of Mailing Address::  
City of Mailing Address:: Kwun Tong  
State or Province of Mailing Address::  
Country of Mailing Address : Hong Kong  
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Great Britain  
Status:: Full Capacity

Given Name:: **Jacqueline**  
Middle Name::  
Family Name:: **LAYER**  
Name Suffix::  
City of Residence:: Dorset  
State or Province of Residence::  
Country of Residence:: United Kingdom  
Street of Mailing Address:: Heddle House, Iwerne Minster Blanford  
Street of Mailing Address::  
City of Mailing Address:: Dorset  
State or Province of Mailing Address::  
Country of Mailing Address:: United Kingdom  
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United States  
Status:: Full Capacity

Given Name:: **Nicole**  
Middle Name:: **Gabrielle Rodriguez**  
Family Name:: **TOULIS**

Name Suffix::  
City of Residence:: New York  
State or Province of Residence:: NY  
Country of Residence:: US  
Street of Mailing Address:: 272 First Avenue, Apt. 7C  
Street of Mailing Address::  
City of Mailing Address:: New York  
State or Province of Mailing Address:: NY  
Country of Mailing Address : US  
Postal or Zip Code of Mailing Address:: 10009

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: China  
Status:: Full Capacity

Given Name:: **Stephen**  
Middle Name:: **Pan**  
Family Name:: **CHEUNG**  
Name Suffix::  
City of Residence:: Tsuen Wan  
State or Province of Residence::  
Country of Residence:: Hong Kong  
Street of Mailing Address:: Flat E, 18/F, Tower 3, Discovery Park  
Street of Mailing Address::  
City of Mailing Address:: Tsuen Wan  
State or Province of Mailing Address::  
Country of Mailing Address : Hong Kong  
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: China

Status::	Full Capacity
Given Name::	<b>Patrick</b>
Middle Name::	<b>Shu Pui</b>
Family Name::	<b>KO</b>
Name Suffix::	
City of Residence::	Happy Valley
State or Province of Residence::	
Country of Residence::	Hong Kong
Street of Mailing Address::	2/F Flat F, Shan Kwong Towers
Street of Mailing Address::	24 Shan Kwong Road
City of Mailing Address::	Happy Valley
State or Province of Mailing Address::	
Country of Mailing Address :	Hong Kong
Postal or Zip Code of Mailing Address::	
Applicant Authority Type::	Inventor
Primary Citizenship Country::	Canada
Status::	Full Capacity
Given Name::	<b>Sammy</b>
Middle Name::	<b>Shun Yuen</b>
Family Name::	<b>FUNG</b>
Name Suffix::	
City of Residence::	Kowloon
State or Province of Residence::	
Country of Residence::	Hong Kong
Street of Mailing Address::	5E, 5 Perth Street, Ilford Court
Street of Mailing Address::	
City of Mailing Address::	Kowloon
State or Province of Mailing Address::	

Country of Mailing Address : Hong Kong

Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: United States

Status:: Full Capacity

Given Name:: **Thomas**

Middle Name:: **William**

Family Name:: **HALPIN**

Name Suffix::

City of Residence:: Irvington

State or Province of Residence:: NY

Country of Residence:: US

Street of Mailing Address:: 1 Mountain Road

Street of Mailing Address::

City of Mailing Address:: Irvington

State or Province of Mailing Address:: NY

Country of Mailing Address : US

Postal or Zip Code of Mailing Address:: 10533

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Malaysia

Status:: Full Capacity

Given Name:: **Yoke**

Middle Name:: **Bee**

Family Name:: **YAP**

Name Suffix::

City of Residence:: New York

State or Province of Residence:: NY

Country of Residence:: US  
Street of Mailing Address:: 425 East 63<sup>rd</sup> Street  
Street of Mailing Address:: Apartment, W2A  
City of Mailing Address:: New York  
State or Province of Mailing Address:: NY  
Country of Mailing Address : US  
Postal or Zip Code of Mailing Address:: 10021

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: China  
Status:: Full Capacity

Given Name:: **Zeno**  
Middle Name:: **Fook Cheun**  
Family Name:: **CHOW**  
Name Suffix::  
City of Residence:: Yuen Long, N.T.  
State or Province of Residence:: Hong Kong  
Country of Residence::  
Street of Mailing Address:: 12 San Francisco Avenue, Royal Palms  
Street of Mailing Address::  
City of Mailing Address:: Yuen Long, N.T.  
State or Province of Mailing Address::  
Country of Mailing Address : Hong Kong  
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United States  
Status:: Full Capacity

Given Name:: **Kelvin**

Middle Name:: **Yatsun**  
Family Name:: **LEUNG**  
Name Suffix::  
City of Residence:: Pelham  
State or Province of Residence:: NY  
Country of Residence:: US  
Street of Mailing Address:: 30 Highbrook Avenue  
Street of Mailing Address::  
City of Mailing Address:: Pelham  
State or Province of Mailing Address:: NY  
Country of Mailing Address : US  
Postal or Zip Code of Mailing Address:: 10803

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United Kingdom  
Status:: Full Capacity

Given Name:: **Kwok**  
Middle Name:: **Keung**  
Family Name:: **YEUNG**  
Name Suffix::  
City of Residence:: Shatin  
State or Province of Residence::  
Country of Residence:: Hong Kong  
Street of Mailing Address:: Block G, 20/F, Flat 1, Sunshine City, Man on  
Shan  
Street of Mailing Address::  
City of Mailing Address:: Shatin  
State or Province of Mailing Address::  
Country of Mailing Address : Hong Kong  
Postal or Zip Code of Mailing Address::



Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Australia  
Status:: Full Capacity

Given Name:: **Man**  
Middle Name:: **Pui**  
Family Name:: **TSIM**  
Name Suffix::  
City of Residence:: Hong Kong  
State or Province of Residence::  
Country of Residence:: Hong Kong  
Street of Mailing Address:: 37C Ko Fung Court, Fook Yum Street  
Street of Mailing Address::  
City of Mailing Address:: Honk Kong  
State or Province of Mailing Address::  
Country of Mailing Address : Hong Kong  
Postal or Zip Code of Mailing Address::

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Australia  
Status:: Full Capacity

Given Name:: **Sol**  
Middle Name::  
Family Name:: **SOLOMON**  
Name Suffix::  
City of Residence:: Caulfield  
State or Province of Residence::  
Country of Residence:: Australia  
Street of Mailing Address:: 61 Rosemont Avenue

Street of Mailing Address::

City of Mailing Address:: Caulfield

State or Province of Mailing Address::

Country of Mailing Address : Australia

Postal or Zip Code of Mailing Address:: 3161

**Correspondence Information**

Correspondence Customer No.: 21967

**Representative Information**

Representative Customer Number: 21967

**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application is	claiming the benefit under 35 USC 119(e)	60/113,561	12/23/98
	claiming the benefit under 35 USC 119(e)	60/113,643	12/23/98

**Foreign Priority Information**

Country:	Application Number::	Filing Date::	Priority Claimed::
PCT	PCT/US99/30976	12/23/1999	

**Assignee Information**

Assignee Name:: JPMorgan Chase Bank, N.A.

Street of Mailing Address:: 270 Park Avenue

Street of Mailing Address::

City of Mailing Address:: New York

State of Province of Mailing Address:: NY

Country of Mailing Address:: US

Postal or Zip Code of Mailing Address:: 10036